

Attorney Docket No. 4414-37

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application

Applicant(s): Ari Juels et al.
Case: 4414-37
Serial No.: 10/673,540
Filing Date: September 29, 2003
Group: 2661
Examiner: To Be Assigned

"Express Mail" Label No. EL998952895US

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date of Deposit: February 11, 2004

Signature: *V. Benvenuti*

Title: Method and Apparatus for Selective Blocking of
Radio Frequency Identification Devices

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants' attorney wishes to bring to the attention of the Patent and Trademark Office the following documents listed on the accompanying Form PTO-1449. A copy of each listed document is enclosed.

1. S.A. Weis et al., "Security and Privacy Aspects of Low-Cost Radio Frequency Identification Systems," Proceedings of the First International Conference on Security in Pervasive Computing, 12 pages, 2003.
2. S.A. Weis, "Security and Privacy in Radio-Frequency Identification Devices," Master of Science Thesis, MIT, pp. 1-79, May 2003.
3. A. Juels et al., "Squealing Euros: Privacy Protection in RFID-Enabled Banknotes," Financial Cryptography, Springer-Verlag, 18 pages, 2003.
4. P. Golle et al., "Universal Re-encryption of Mixnets," Springer-Verlag, 14 pages, 2002.

5. D.L. Brock, "The Electronic Product Code (EPC): A Naming Scheme for Physical Objects," White Paper, MIT-AUTOID-WH-002, MIT Auto-ID Center, <http://www.autoidcenter.org>, pp. 1-21, January 2001.

6. Auto-ID Center, Technical Report, "13.56 MHz ISM Band Class 1 Radio Frequency Identification Tag Interface Specification: Recommended Standard, Version 1.0.0," MIT-AUTOID-TR-011, MIT Auto-ID Center, <http://autoidcenter.org>, pp. 1-31, February 2003.

7. S. Garfinkel, "An RFID Bill of Rights," Technology Review, Page 35, October 2002.

8. S.E. Sarma et al., "RFID Systems, Security & Privacy Implications," White Paper, MIT-AUTOID-WH-014, MIT Auto-ID Center, pp. 1-16, November 2002.

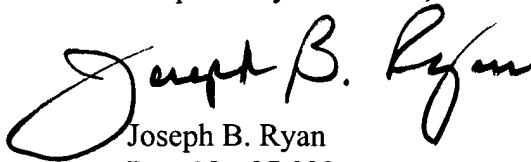
9. S.E. Sarma et al., "Radio-Frequency Identification: Security Risks and Challenges," RSA Laboratories, CryptoBytes, Vol. 6, No. 1, pp. 1-32, Spring 2003.

10. D.W. Engels, "HF Identity Tag Action Group: Scope and Deliverables," Technical Report, MIT-AUTOID-TR-020, MIT Auto-ID Center, pp. 1-5, July 2003.

It is believed that there is no fee due in conjunction with the filing of this Information Disclosure Statement. In the event of non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit **Ryan, Mason & Lewis, LLP Deposit Account No. 50-0762** as required to correct the error.

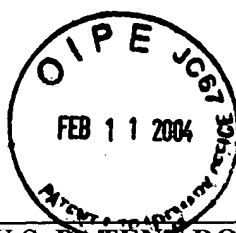
The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or as an admission that the information cited is considered to be material to patentability, or as a representation that no other material information exists.

Respectfully submitted,



Date: February 11, 2004

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LIST OF PUBLICATIONS FOR
APPLICANT'S INFORMATION
DISCLOSURE STATEMENT

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U.S. PATENT DOCUMENTS

| EXAMINER | DOCUMENT NO. | DATE | NAME | CLASS/SUBCLASS | FILING DATE IF APPROPRIATE * |
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FOREIGN PATENT DOCUMENTS

| EXAMINER | DOCUMENT NO. | DATE | COUNTRY | CLASS/SUBCLASS | TRANSLATION YES NO |
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OTHER DOCUMENTS

| EXAMINER | REF NO. | AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC. |
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- ✓ 1. S.A. Weis et al., "Security and Privacy Aspects of Low-Cost Radio Frequency Identification Systems," Proceedings of the First International Conference on Security in Pervasive Computing, 12 pages, 2003.
- ✓ 2. S.A. Weis, "Security and Privacy in Radio-Frequency Identification Devices," Master of Science Thesis, MIT, pp. 1-79, May 2003.
- ✓ 3. A. Juels et al., "Squealing Euros: Privacy Protection in RFID-Enabled Banknotes," Financial Cryptography, Springer-Verlag, 18 pages, 2003.
- ✓ 4. P. Golle et al., "Universal Re-encryption of Mixnets," Springer-Verlag, 14 pages, 2002.
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- ✓ 6. Auto-ID Center, Technical Report, "13.56 MHz ISM Band Class 1 Radio Frequency Identification Tag Interface Specification: Recommended Standard, Version 1.0.0," MIT-AUTOID-TR-011, MIT Auto-ID Center, <http://autoidcenter.org>, pp. 1-31, February 2003.
- ✓ 7. S. Garfinkel, "An RFID Bill of Rights," Technology Review, Page 35, October 2002.
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- ✓ 10. D.W. Engels, "HF Identity Tag Action Group: Scope and Deliverables," Technical Report, MIT-AUTOID-TR-020, MIT Auto-ID Center, pp. 1-5, July 2003.

Examiner

Date Considered

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.